November 29, 2005

OBLON
SPIVAK
MCCLELLAND
MAIER
A
NEUSTADT
P.C.

ATTORNEYS AT LAW

KATHLEEN A. MORSBERGER CONTROLLER (703) 412-6494 KMORSBERGER@OBLON.COM

UNITED STATES PATENT AND TRADEMARK OFFICE 2501 Jamieson Ave., Ste. 300 Alexandria, VA

Attn: Frank Lebron Refund Department

Re:

Deposit Account #150030

Dear Mr. Lebron:

Enclosed is a copy of a portion of our deposit account statement of October, 2005. Please review the highlighted charge on Serial Number 10/088,503 in the amount of \$180.00 on fee code #1806.

A CERTIFIED Information Disclosure Statement was filed on August 29, 2005. Since this application was not under final rejection, no fee is required as set forth in 37 CFR 1.97.

Please investigate this charge and kindly refune \$180.00 to Deposit Account #150030. Copies of the appropriate paperwork are attached. If you have any questions, please contact Debbie Noel at (703) 412-6296. Thank you for your assistance in this matter.

Sincerely,

OBLON, SPIVAK, McCLELLAND, MAIER & NEUSTADT, P.C.

Debra J. Noel

**Accounting Department** 

**Enclosures** 

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## **Deposit Account Statement**

Requested Statement Month:

October 2005

**Deposit Account Number:** 

150030

Name: Attention:

NORMAN F. OBLON

Address:

1940 DUKE STREET **ALEXANDRIA** 

City:

VA

State:

22314

Zip: Country:

**UNITED STATES OF AMERICA** 

DATE SE	Q POSTING REF TXT	ATTORNEY DOCKET NBR	FEE CODE	АМТ	BAL
10/03 41	PAYMENT	•	9203	-\$20.000.0	00 \$27,737.71
10/03 52	10299801	230749US99	1462	\$270.00	\$27,467.71
10/03 107		235702US0	1801	\$790.00	\$26,677.71
10/03 108		235702US0	1201	\$400.00	\$26,277.71
10/03 310		265643US/KQU	8007	\$40.00	\$26,237.71
10/03 312		224305US/KQU	8007	\$80.00	\$26,157.71
10/03 413	10951650	253648US/KQU	8007	\$60.00	\$26,097.71
10/04 2	10987713	N1085-90047	1201	\$400.00	\$25,697.71
10/04 3	10407233	236386US0C0NT	1806	\$180.00	\$25,517.71
10/04 73	60640047	264167US/KQU	8007	\$20.00	\$25,497.71
10/04 74	60632985	262881US/KQU	8007	\$20.00	\$25,477.71
10/04 83	60641731	264391US	8007	\$20.00	\$25,457.71
10/04 86	60637745	263865US/KQU	8007	\$20.00	\$25,437.71
10/05 9	10533237	271731US0PCT	1615	\$300.00	\$25,137.71
10/05 200	60619695	260663US/KQU	8007	\$80.00	\$25,057.71
10/05 267	11144020	239439US2RD RE	1204	\$200.00	\$24,857.71
10/06 2	10008503	990477U1PCT	1806	\$180.00	\$24,677.71
10/06 14	11224949	260082US26	1203	-\$360.00	\$25,037.71
10/06 24	60614974	259931US/KQU	8007	\$40.00	\$24,997.71
10/06 28	60614973	259930US/KQU	8007	\$60.00	\$24,937.71
10/06 42	60670266	270665US/KQU	8007	\$60.00	\$24,877.71
10/06 170		259932US/KQU	8007	\$140.00	\$24,737.71
10/06 171	60619694	260662US/KQU	8007	\$120.00	\$24,617.71
10/06 215	60632985	262881US/KQU	8007	\$20.00	\$24,597.71
10/06 217	60637745	263865US/KQU	8007	\$20.00	\$24,577.71
	60640047	264167US/KQU	8007	\$20.00	\$24,557.71
10/06 220	60641731	264391US/KQU	8007	\$20.00	\$24,537.71

10/14 6 10534525	272253USOPCT	1615	\$100.00	\$6,132.71
10/14 12 PAYMENT		9203	-\$20,000.00	
10/14 16 11232906	275165US90	1202	\$50.00	\$26,082.71
10/14 30 11234336	278685US0X CONT	1202	\$1,050.00	\$25,032.71
10/14 31 11234336	278685US0X CONT	1203	\$360.00	\$24,672.71
10/14 34 11239325	278779US0XDIV	2081	\$125.00	\$24,547.71
10/14 45 10008503	990477U1PCT	1806	-\$180.00	<u>\$24,</u> 727.71
10/14 46 +10088503*	220802US2XPC	1806	\$180.00	\$24,547.71
10/17 4 10526020	266734US0PCT	1617	\$130.00	\$24,417.71
10/17 14 11233129	278747US3	1201	\$200.00	\$24,217.71
10/17 16 10537491	273431US2PCT	1615	\$350.00	\$23,867.71
10/17 17 10537491	273431US2PCT	1616	\$360.00	\$23,507.71
10/17 18 10537491	273431US2PCT	1617	\$130.00	\$23,377.71
10/17 120 0144340022	236504CN,KR,CA,IN(FF.DEPT		\$100.00	\$23,277.71
10/17 192 60641740	264389US/KQU	8007	\$20.00	\$23,257.71
10/17 194 60669905	270274US/KQU	8007	\$40.00	\$23,217.71
10/18 2 10495826	252897US2X PCT	1617	-\$130.00	\$23,347.71
10/18 29 11239325	278779US0XDIV	2202	\$325.00	\$23,022.71
10/18 30 11239325	278779US0XDIV	2203	\$180.00	\$22,842.71
10/19 1 10623522	240629US/HC	1806	\$180.00	\$22,662.71
10/19 1 11232906	275165US90	1202	-\$50.00	\$22,712.71
	236540US3PCT	1251	_	\$22,592.71
	275165US90	1051		\$22,642.71
		1202		\$23,692.71
		8007		\$23,672.71
	Lo.	1202		\$22,722.71
		1202		\$22,650.71
		1201		\$22,050.71 \$22,250.71
		1202		\$22,230.71 \$22,300.71
		1051		\$22,430.71
		2201		\$22, <del>9</del> 30.71
		1252		\$23,260.71
	•	1201		\$23,260.71
		1806		
		1251		\$23,640.71 \$23,760.74
		8014		\$23,760.71 \$23,735.71
		1806		\$23,735.71 \$23,555.71
		1806	_	
			_	\$23,375.71
				\$23,195.71
	·			\$23,375.71
				\$23,555.71
			_	\$23,735.71
			_	\$23,915.71
				\$23,555.71
				\$23,535.71
			_	23,635.71
				23,485.71
10/23 10 0143400248 2	37155 KR, CN, CA, IN (FF 8	014	\$100.00	23,385.71

By: MJS/MEMO/ims

erial No. 10/088,503

In the matter of the Application of: Shigeo YAMANAKA, et al.

For: OPTICAL WAVELENGTH DIVISION MULTIPLEXING AND TRANSMISSION APPARATUS

Due Date: 08/30/2005

The following has been received in the U.S. Patent Office on the date stamped hereon:

- Dep. Acct. Order Form
- Information Disclosure Statement

■ PTO-1449

- Cited References (7)
- Search Report EUROPEAN



Docket No.

220802US2XPCT/ims

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF:

Shigeo YAMANAKA, et al.

SERIAL NO: 10/088.503

GAU:

2633

FILED:

March 28, 2002

**EXAMINER: T. Q. LE** 

FOR:

OPTICAL WAVELENGTH DIVISION MULTIPLEXING AND TRANSMISSION APPARATUS

## INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS ALEXANDRIA, VIRGINIA 22313

Applicant(s) wish to disclose the following information.

#### REFERENCES

- The applicant(s) wish to make of record the references cited in the attached European Search Report and listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

#### RELATED CASES

- Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

### ERIMICATION

- Each item of information contained in this information disclosure statement was first cited in any communication whom a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

#### **DEPOSIT ACCOUNT**

Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND. MAIER & NEUSTADT, P.C.

Marvin J. Spivak

Registration No. 24,913

Customer Number 22850

" which allows " in this is

Tel. (703) 413-3000 Fax. (703) 413-2220 (OSMMN 05/03)

Michael E. Monaco Registration No. 52,041 United States Patent and Trademark Office - Sales Receipt -

10/06/2005 LBADIE 00000002 150030 10008503

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Adjustment date: 12/30/2005 FEKUBAY1 10/14/2005 SSANDARA 00000005 150030 10088503 01 FC:1806 180.00 CR